

BOX PATENT APPLICATION  
 ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231

 Sir:  
 Transmitted herewith for filing is the patent application of:

Inventor(s): Masatoshi NAKAGAWA, Makoto TOSE, Yoshihiro KOSHIDO, Michio KADOTA, Toshimaro YONEDA, and Takeshi NAKAO

For: SURFACE ACOUSTIC WAVE DEVICE AND METHOD OF MANUFACTURING THE SAME

 This is a ☐ CONTINUING ☒ DIVISIONAL ☐ CONTINUATION-IN-PART of prior application Serial No. 09/654,114 Filed August 31, 2000.

Enclosed are:

24 Pages of Specification

 7 Sheet(s) of drawing ☒ formal ☐ informal

☒ Copy of Declaration and Power of Attorney from a prior application (for continuing and divisionals).

☒ An assignment to Murata Manufacturing Co., Ltd. of 26-10 Tenjin 2-chome, Nagaokakyo-shi, Kyoto-fu 617-8555, JAPAN was filed and recorded in the prior application on August 31, 2000, Reel 011073, Frame 0164.

☒ A certified copy of Japanese Patent Application No. 11-248932, filed September 2, 1999 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, were filed in prior application No. 09/654,114.

☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

☐ Change of Correspondence Address

☐ INCORPORATION BY REFERENCE - The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ DELETION OF INVENTOR(S) - Signed statement attached deleting inventor(s) in the prior application.

☐ Information Disclosure Statement, Form PTO 1449, and \_\_\_ cited reference(s).

☒ Preliminary Amendment

☒ General authorization/request to Petition for Extensions of Time

☒ Return Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$370.00	OR		\$ 740.00
TOTAL CLAIMS	20	-20=	X 9	\$	OR	X 18	\$ -0-
INDEP CLAIMS	2	-3=	X 40	\$	OR	X 80	\$ -0-
<input type="checkbox"/> MULTIPLE DEP CLAIMS PRESENTED			X+ 130	\$	OR	+ 260	\$
			TOTAL =			TOTAL:	\$ 740.00

☐ Please charge my Deposit Account No. 50-1353 the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

☒ Credit Card Payment Form in the amount of \$ 740.00 to cover the filing fee is enclosed.

☐ Credit Card Payment Form for \$ \_\_\_\_\_ covering the Assignment Recordation Fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

 Joseph R. Keating  
 Registration No. 37,368

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 The PTO did not receive the following listed item(s): POST CARD

 JC997 U.S. PTO  
 10/046259  
 01/16/02



PATENT

Docket No.: 36856.606

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Masatoshi NAKAGAWA, Makoto TOSE, Yoshihiro KOSHIDO,  
Michio KADOTA, Toshimaro YONEDA, and Takeshi NAKAO**

For: **SURFACE ACOUSTIC WAVE DEVICE AND METHOD OF MANUFACTURING  
THE SAME**

New Divisional Application of Parent Serial No. 09/654,114

Filing Date: January 16, 2002

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**CERTIFICATE OF HAND DELIVERY**

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Date of Deposit: January 16, 2002

I hereby certify that an application for patent, including:

24 pages of Specification (which includes 20 claims and a one-page Abstract); 7 Sheets of formal Drawings; a copy of the executed Combined Declaration and Power of Attorney from prior application 09/654,114; a Credit Card Payment Form (PTO-2038) authorizing a charge to my credit card in the amount of \$740.00 to cover the filing fee; a Preliminary Amendment; Transmittal Letter; General Authorization/Request to Petition for Extensions of Time; and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: January 16, 2002

  
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Joseph R. Keating